



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/978,607	01/15/2001	William L. Hecht	0112300-959

JAN 04 2002

PATENT &amp; TRADEMARK

CONFIRMATION NO. 5117

## FORMALITIES LETTER



\*OC000000007077753\*

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Date Mailed: 11/15/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 130.**

*A copy of this notice MUST be returned with the reply.*

Customer Service Center  
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

01/08/2002 BHARTEW 00000005 09978607

01 FC:105 130.00 DP

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OFFICES IN CHICAGO  
AND WASHINGTON, D.C.

November 27, 2001

Assistant Commissioner of Patents  
Box Missing Parts  
Washington, D.C. 20231

Dear Sir or Madam:

This letter is in response to the Notice of Missing Parts of Application No. 09/978,607 that was received on November 15, 2001. Enclosed please find:

1. A Copy of the Notice of Missing Parts of Non-Provisional Application;
2. A Declaration and Power of Attorney for Patent Application;
3. A Recordation Form Cover Sheet;
4. An Assignment;
5. A Certificate of First Class Mail;
6. Checks in the amount of \$130.00 and \$40.00; and
7. A postcard which we ask you to date stamp and return.

Very truly yours,

A handwritten signature in cursive script that reads "Adam H. Masia".

Adam H. Masia

AHM:cld  
Enclosures

**CERTIFICATE OF MAILING BY FIRST CLASS MAIL (37 CFR 1.8)**Applicant(s): **William D. Hecht, et al.**

Docket No.

**0112300/959**

Serial No.

**09/978,607****JAN 04 2002**

Filing Date

**October 15, 2001**

Examiner

**Unknown**

Group Art Unit

**Unknown**Invention: **GAMING DEVICE WITH SOUND RECORDING CHANGES ASSOCIATED WITH PLAYER INPUTS**I hereby certify that this **Response to Notice of Missing Parts***(Identify type of correspondence)*

is being deposited with the United States Postal Service as first class mail in an envelope addressed to: The

Assistant Commissioner for Patents, Washington, D.C. 20231 on

**November 27, 2001***(Date)***RENÉE STREET***(Typed or Printed Name of Person Mailing Correspondence)**(Signature of Person Mailing Correspondence)***Note: Each paper must have its own certificate of mailing.**